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# Caprock permeabilities must be considered in assessments of ground surface displacements in geological underground utilization

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## Abstract

Ground surface displacements resulting from geological underground utilization may induce serious damages to surface infrastructure. We carried out coupled hydro-mechanical simulations to compare the impact of caprock permeability on vertical displacements at a storage reservoir top and the ground surface. A 3D numerical scenario analysis was employed to assess the effects of caprock permeabilities equal to zero and varied between  $10^{-20}$  m<sup>2</sup> and  $10^{-16}$  m<sup>2</sup>. Simulation results show that vertical ground surface displacements almost double with each order of magnitude increase in caprock permeability and that vertical ground surface displacements are generally higher than those observed at the reservoir top.

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**Keywords:** Caprock permeability; ground surface displacements; reservoir utilization; hydro-mechanical modeling; numerical simulation

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## 1. Introduction

Coupled hydro-mechanical simulations carried out for the assessment of vertical ground surface displacements, which generally accompany fluid production from and storage in geological reservoirs, are often calculated without consideration of fluid flow processes occurring in the caprock sequences [1-10]. The reasons for applying this

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simplification are mainly associated with the computational efficiency of a coupled hydro-mechanical model, but may also result from limitations of the applied hydraulic simulators, e.g., maximum number of elements and nodes applicable for a sufficiently accurate representation of non-isothermal flow processes across great model thicknesses. Generally, ground surface displacements may trigger damage of surface infrastructure such as pipelines, roads and buildings, depending on the resulting differential displacements in the affected area, whereby the allowable thresholds depend on the evaluated structure [11].

Tillner et al. [12] demonstrated that different assumptions made on caprock permeability in coupled hydro-mechanical modeling by two independent modeling groups can result in significant deviations between the simulation results, especially when considering the calculated vertical ground surface displacements. Further, they found that ground surface displacements were higher in their simulation study compared to those observed at the reservoir top of an on-shore CO<sub>2</sub> storage site. Considering these findings, we decided to have a more detailed investigation on the role of caprock permeability in coupled hydro-mechanical simulations and employed a scenario analysis based on fully coupled 3D hydro-mechanical modeling. For that purpose, a synthetic 3D model was implemented comprising two reservoir and caprock units, respectively, whereby the permeability of both caprocks was varied by one magnitude with each simulation scenario in a range between  $10^{-20}$  m<sup>2</sup> and  $10^{-16}$  m<sup>2</sup>, which is documented to be representative for reservoir caprocks by different authors [e.g. 13-28]. In addition, we conducted a simulation with a caprock permeability of zero, representing the standard modeling procedure chosen in many hydro-mechanical simulations.

## 2. Hydro-mechanical model geometry and parameterization

### 2.1. 3D model geometry

The implemented 3D model considers five geological formations including two aquifers (primary and secondary reservoir) and three aquicludes (caprocks and model basement) as shown in Fig. 1. A quarter model of a hypothetical anticline system with a total extent of 20 km x 20 km was employed taking into account the existing symmetry. The depth of the primary reservoir top is 1,000 m at the location of the anticline top and 1,500 m at a distance of 10 km, assuming a radial anticline dip of 2.86°. The thicknesses at the location of the vertical injection well are 50 m for the primary reservoir, 200 m for the intermediate caprock, 25 m for the secondary reservoir and 775 m for the upper caprock, respectively.

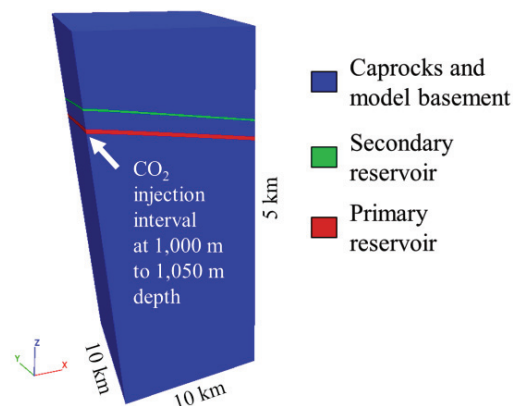


Fig. 1. Quarter-symmetric numerical model of a synthetic anticline applied in the present study.

Horizontal model discretization is 10 km x 10 km, using a tartan type grid to ensure a sufficient resolution in the near-wellbore area, with increasing element sizes towards the lateral model boundaries. A tartan grid type is also applied in vertical direction to ensure a sufficient discretization of the single geological units.

## 2.2. Mechanical parameters

Mechanical parameters assigned to the geological units are derived from literature data summarized by Tillner et al. [12], while the hydrodynamic flow model data is parameterized using data from Kempka and Kühn [29]. The porosity sensitivity exponent is derived from David et al. [30].

Table 1. Parameters assigned to the geological formations in the hydro-mechanical model [12, 29-30].

Property	Upper caprock	Secondary reservoir	Intermediate caprock	Primary reservoir	Model basement
Young's modulus (GPa)	19.9	26.0	31.0	27.7	60.0
Poisson's coefficient (-)	0.24	0.18	0.29	0.26	0.19
Friction angle (°)	20	23	20	25	30
Cohesion and Tensile strength (MPa)			5		
Dilation angle (°)			0		
Density (kg/m <sup>3</sup> )	2,650	2,658	2,362	2,453	2,698
Biot's coefficient (-)			1		
Porosity (-)	0.02	0.2	0.02	0.17	0.1
Permeability (m <sup>2</sup> )	variable	$2 \times 10^{-13}$	variable	$4 \times 10^{-13}$	variable
van Genuchten $1/P_0$ (Pa <sup>-1</sup> )	$1.77 \times 10^{-7}$	$7.91 \times 10^{-5}$	$1.77 \times 10^{-7}$	$1.21 \times 10^{-4}$	$1.77 \times 10^{-7}$
van Genuchten $\lambda$ (-)			0.65		
Porosity sensitivity exponent (-)	5	20	5	20	5

## 2.3. Hydro-mechanical boundary and initial conditions

We assigned constant velocities of zero displacement perpendicular to the lateral and bottom model boundaries, and allowed displacements in any direction at the upper model boundary. A normal faulting stress regime with  $S_H = 0.85 S_V$  was assigned to the mechanical model with the horizontal total stress  $S_H$  and vertical total stress  $S_V$ . However, it should be noted that the stress regime has a rather negligible impact on displacements in the absence of fault reactivation [31]. The hydrodynamic model is determined by Dirichlet conditions at the top and lateral boundaries in radial direction, while the tangential model boundaries have Neumann 'no flow' conditions assigned. Temperature is assigned with a geothermal gradient of 0.03 K/m, while pore pressure is determined by atmospheric conditions at the ground surface. The primary and secondary reservoirs exhibit salinities of 25 % and 20 % by weight, respectively, whereas all caprocks do not comprise any salt mass fraction to allow for using salt to act as a tracer. However, this is not further addressed in the present manuscript. An iterative pore pressure calculation over the entire model depth based on the assigned pressure and temperature conditions was carried out using the equation of state of Rowe and Chou [32] in advance of the equilibration run undertaken with the TOUGH2-MP/ECO2N [33-34] simulator. The FLAC<sup>3D</sup> simulator [35] was applied to calculate the mechanical equilibrium based on the

elastoplastic Mohr-Coulomb constitutive law before initiation of the hydro-mechanical coupling. Then, the hydro-mechanical simulations were carried out, using pore pressure and volumetric strain increments as coupling parameters in between previously specified iteration intervals. Based on the given volumetric strain increments, porosity and permeability changes in the hydrodynamic simulation models were updated by application of the relationship introduced by Chin et al. [36].

#### 2.4. Injection schedule and investigated scenarios

A total amount of 34 Mt CO<sub>2</sub> is injected into the primary reservoir at the top of the anticline as applied by Tillner et al. [37] for a similar, but realistic geological setting, represented by a constant injection rate of 13.48 kg/s in the quarter domain model used here. This rate is maintained for a time of 20 years, and then followed by a post-injection period of another 20 years. A caprock permeability of zero is assumed in the reference scenario, while caprock permeabilities of  $10^{-16}$  m<sup>2</sup>,  $10^{-17}$  m<sup>2</sup>,  $10^{-18}$  m<sup>2</sup>,  $10^{-19}$  m<sup>2</sup> and  $10^{-20}$  m<sup>2</sup> were applied in the other five scenarios considered in the present study. Any other parameters are not changed in the six coupled hydro-mechanical simulation runs.

### 3. Hydro-mechanical simulation results

Fig. 2 shows the bottomhole pressure (BHP) development in time for different caprock permeabilities at the reservoir top. The maximum increase in BHP occurs after the start of the CO<sub>2</sub> injection, whereby maximum values are almost identical for all scenarios at that time. At the end of the injection period, variations in BHP of up to 0.4 MPa are observed, decreasing to about 0.3 MPa at the end of the simulation runs (40 years). Considering a caprock permeability of  $10^{-16}$  m<sup>2</sup> does not induce a pore pressure increase between the maximum pressure peak occurring directly after the start of injection and the end of injection (20 years), as observed for all other scenarios. Nevertheless, caprock permeability shows only negligible impact on pressure build-up with open lateral boundaries.

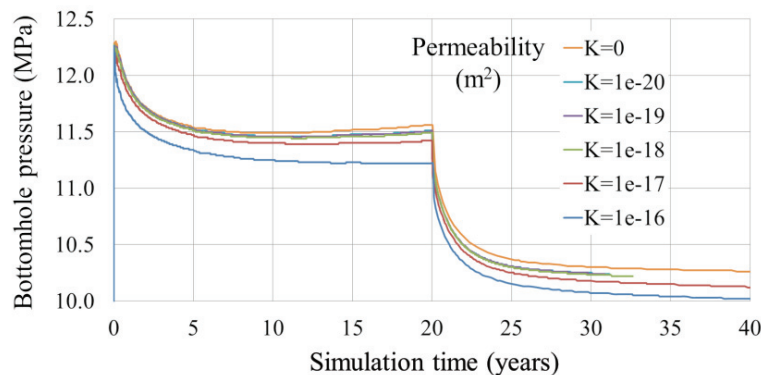


Fig. 2. Bottomhole pressure (BHP) at primary reservoir top as a function of time and caprock permeability (in m<sup>2</sup>). Maximum increase in BHP at the start of injection is almost identical for all investigated scenarios, whereby BHP decreases with increasing caprock permeability and is not starting to increase again after 20 years of simulation in the  $K = 1 \times 10^{-16}$  m<sup>2</sup> case.

Vertical displacements at the top of the primary reservoir and at the ground surface are plotted in Fig. 3. Simulation results demonstrate that in all cases, except in the scenario with a caprock permeability of zero, vertical displacements at the ground surface are generally higher than those observed at the primary reservoir top. This behavior is also achieved for caprock permeabilities of  $10^{-20}$  m<sup>2</sup> to  $10^{-18}$  m<sup>2</sup> after about three years, one year and a few months after the start of injection, respectively. Further, vertical displacements are increased by about one magnitude with an increase in caprock permeability from  $10^{-20}$  m<sup>2</sup> to  $10^{-16}$  m<sup>2</sup>, and generally double with each order of magnitude increase in caprock permeability.

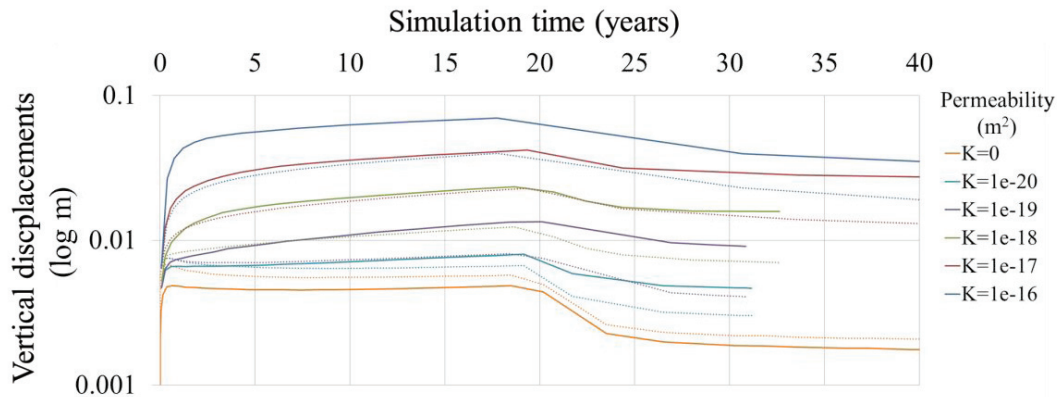


Fig. 3. Vertical displacements at the primary reservoir top (dotted lines) and at the ground surface (solid lines) for all investigated scenarios (caprock permeabilities are given in  $\text{m}^2$ ). Scenarios with  $K = 10^{-16} \text{ m}^2$  and  $K = 10^{-17} \text{ m}^2$  exhibit higher displacements at the ground surface than at the primary reservoir top at any time of simulation, while this behaviour develops first after a couple of months to years in the  $K = 10^{-18} \text{ m}^2$  to  $K = 10^{-20} \text{ m}^2$  scenarios. Vertical displacements at the primary reservoir top are always higher than those at the ground surface in the zero caprock permeability scenario.

#### 4. Discussion and conclusions

Ground surface displacements resulting from geological underground utilization may induce surface infrastructure damage, if exposing a spatial differential character. In the present study, we carried out coupled hydro-mechanical simulations to assess the impact of caprock permeability on vertical displacements at the top of a storage reservoir and the ground surface. By employing a 3D numerical scenario analysis, we investigated the effects of caprock permeabilities set to zero as well as varied between  $10^{-20} \text{ m}^2$  and  $10^{-16} \text{ m}^2$ . Our simulation results demonstrate that pore pressure in the reservoir is not notably influenced by varying caprock permeabilities, if the hydrodynamic model boundaries are defined as open to fluid flow. However, vertical ground surface displacements almost double with each order of magnitude increase in caprock permeability and vertical ground surface displacements are generally higher than those observed at the reservoir top.

At the In Salah  $\text{CO}_2$  storage site in Algeria, Rutqvist et al. [26] observed a higher vertical uplift at the reservoir top (1.6 cm) than at the ground surface (1.2 cm) in their hydro-mechanical simulations, assuming a caprock permeability of  $10^{-21} \text{ m}^2$ . The authors also demonstrated that a caprock permeability of  $10^{-19} \text{ m}^2$  increases the maximum ground surface uplift by 0.8 cm due to fluid migration into the caprock, resulting in higher displacements at the ground surface than at the reservoir top. This supports the results achieved in the present study, but also that a permeability threshold of relevance for the assessment of ground surface displacements in underground utilization may exist for caprock permeabilities between  $10^{-21} \text{ m}^2$  and  $10^{-20} \text{ m}^2$ . Even though, the injection depth at In Salah is about 800 m deeper than that used in the present study, we intend to continue investigating the relevance of a potential caprock permeability threshold in an upcoming hydro-mechanical simulation study.

The results of the present study emphasize that caprock permeabilities must be taken into account in coupled hydro-mechanical simulations of underground utilization in order not to underestimate ground surface displacements, whereby the choice of the permeability magnitude has significant implications for the calculated vertical displacements.

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